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 By: Crystal Susa Printed: Crystal Susa

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Theeuwes, et al.

Title: LOCAL CONCENTRATION MANAGEMENT SYSTEM

Serial No.: 09/917,181

Filing date: 07/26/01

Examiner: Lam, Ann

Group Art Unit: 3763

Commissioner for Patents

Box RCE

Washington D.C. 20231

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### TRANSMITTAL FEE SHEET

Sir:

Transmitted herewith are the following for the above-identified application:

1. Return Receipt Postcard;
2. Transmittal Fee Sheet (2pp., in duplicate);
3. Request for Continued Examination Transmittal (1pg., in duplicate)
4. Response to Final Office Action (11pp., in duplicate).

**Fee Calculation** – The fee has been calculated as follows:

### CLAIMS AS FILED (Fees computed under §1.16)

Claims	Number Filed	Minus claims previously paid for	Number Extra	Large Entity Rate	Fee	Basic RCE Fee \$750.00
Total Claims	30	-28	2	X \$18		\$ 36
Indep. Claims	2	-3	0	X \$84		\$ 0
Multiple Dependent Claim(s), if any + \$270						\$ 0

**TOTAL FILING FEE** **\$786.00**

The Commissioner is hereby authorized to charge Deposit Account no. 50-1953 in the amount of \$ 786.00. The Commissioner is hereby authorized to charge any additional fees

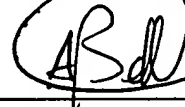
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required under 37 C.F.R. § 1.16 and 1.17, or credit any overpayment to Deposit Account No. 50-1953. A duplicate of this sheet is enclosed.

Date: 4th April 2003.

10240 Bubb Road  
Cupertino, CA 95014  
Fax: 408-777-3577

Respectfully submitted,  
DURECT CORPORATION



Adam Warwick Bell, D.Phil.  
Reg. No. 43,490

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APR 10 2003

PTO/SB/30 (01-03)

Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# Request For Continued Examination (RCE) Transmittal

Address to:  
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Washington, DC 20231

Application Number	09/917,181
Filing Date	07/26/01
First Named Inventor	Theeuwes
Art Unit	3763
Examiner Name	Lam, Ann
Attorney Docket Number	DURE-023

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. Submission required under 37 CFR 1.114

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1953

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Adam Warwick Bell, D.Phil.	Registration No. (Attorney/Agent)	43,490
Signature	<i>AWB</i>	Date	04/04/03

## CERTIFICATE OF MAILING OR TRANSMISSION

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Name (Print/Type)	Crystal Susa	Date	04/04/03
Signature	<i>Crystal Susa</i>		

Page 1 of 2

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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01 FC:1801

02 FC:1202

36.00 CH



DURE-023; Application No. 09/917,181

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Theeuwes et al

Title: Local Concentration Management System

Serial No.: 09/917,181

Filing Date: 7/26/01

Examiner: Lam, Ann

Group Art Unit: 3763

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RESPONSE TO OFFICE ACTION  
ACCOMPANYING RCE

Sir:

This paper is responsive to the Final Office Action mailed February 04, 2003. Applicant(s) request reconsideration of the above-referenced patent application in view of the following amendments and remarks.

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